

PTO/SB/17 (10-04v2)
Approved for use through 07/31/2008. OMB 0851-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1,880.00

Complete if Known

Application Number	10/690,915
Filing Date	10/22/2003
First Named Inventor	D.T. Zatorski et al
Examiner Name	Kershteyn, I.
Art Unit	3745
Attorney Docket No.	GE134254

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 07 - 0865

Deposit Account Name: General Electric Co.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 790	2001 395	Utility filing fee	
1002 350	2002 175	Design filing fee	
1003 550	2003 275	Plant filing fee	
1004 790	2004 395	Reissue filing fee	
1005 180	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 88	2201 44	Independent claims in excess of 3	
1203 300	2203 150	Multiple dependent claim, if not paid	
1204 88	2204 44	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$)			0

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 430	2252 215	Extension for reply within second month	
1253 980	2253 490	Extension for reply within third month	
1254 1,530	2254 765	Extension for reply within fourth month	
1255 2,080	2255 1,040	Extension for reply within fifth month	
1401 340	2401 170	Notice of Appeal	
1402 340	2402 170	Filing a brief in support of an appeal	
1403 300	2403 150	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,370	2453 685	Petition to revive - unintentional	
1501 1,370	2501 685	Utility issue fee (or reissue)	1,400
1502 490	2502 245	Design issue fee	
1503 660	2503 330	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	180
1808 180	1808 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 790	2809 395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 790	2810 395	For each additional invention to be examined (37 CFR 1.129(b))	
1801 790	2801 395	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	300
Other fee (specify) Publication Fee			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$)			1,880

SUBMITTED BY

Name (Print/Type)	WILLIAM SCOTT ANGE	Registration No. (Attorney/Agent)	33,582	Telephone	513-243-5955
Signature	[Signature]	Date	26 January 2005		

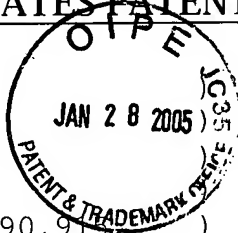
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE*Applicant:*

D.T. Zatorski et al

*Art Unit:* 3745*Application No.:* 10/690,915)*Confirmation No.:* 6870)*Examiner:* Kershteyn, I.*Filed:* 10/22/2003)*Title:* Split Flow Turbine Nozzle**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Issue Fee
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- [X] 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document (other than U.S. patents and U.S. patent application publications unless required by the Office) and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

Japanese Reference WO 99/36675 contains an English abstract describing the stationary blade with air passage 10 for cooling the thin trailing end, with air discharge through the side hole 12 in the inner shroud. Note the several flow passages illustrated in the figures. This reference is identified as a PCT publication in US 6,315,518 by Uematsu et al.

This paper is submitted in accordance with:

- [] 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]
- [] 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- [] a. The required Certification made in item 5 below; or

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

FRANCIS L. CONTE

(Name of person mailing paper)

(Signature of person mailing paper)

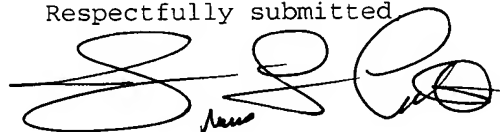
26 January 2005

(Date)

- [] b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.
- [X] 4. 37 CFR 1.97(d): [on or before issue fee payment]; and
- a) The required Certification is stated in item 5 below; and
- b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.
- [X] 5. Certification
- [X] a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- [] b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- [X] 6. Payment of all applicable fees:

Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0865 of General Electric Company in accordance with attached Fee Transmittal for FY 2005.

Respectfully submitted



Francis L. Conte
Registration No. 29,630
Attorney for Applicant

Date: 26 January 2005

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Attachments:

Form PTO-1449;
Listed References AF through AL (6)
Fee Transmittal for FY2005 (PTO/SB/17)

PTO-1449

ATTY. DOCKET NO.
GE134254SERIAL NO.
10/690,915

SUPPLEMENTAL
Information Disclosure Statement
by Applicant(s) - List of Items

APPLICANT
D.T. Zatorski et alFILING DATE
10/22/2003

GROUP 3745

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA	5 3 9 9 0 6 5	05-1995	Kudo et al			
	AB						
	AC						
	AD						
	AE						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	AF	0 5 4 2 4 0 3 A1	05-1993	EP				
	AG	1 1 3 6 6 5 2 A1	09-2001	EP				
	AH	0 9 3 0 4 1 9 A1	07-1999	EP				
	AI	1 4 8 9 2 6 5 A2	12-2004	EP				
	AJ	0 8 6 4 7 2 8 A2	09-1998	EP				
	AK	9 9 3 6 6 7 5 A1	07-1999	WO			Abstract	
	AL	0 7 6 8 4 4 8 A1	04-1997	EP				
	AM							
	AN							

OTHER INFORMATION (INCLUDING AUTHOR, TITLE, PERTINENT PAGES, ETC.)

	AR	
	AS	
	AT	
EXAMINER		DATE CONSIDERED